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EXAMINER

PARTON, KEVIN S

ART UNIT	PAPER NUMBER
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2153

DATE MAILED: 11/07/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/664,550

Applicant(s)

HUANG, HUNG

Examiner

Kevin Parton

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on ____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 5.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: ____.

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-11, 14, 14, 16-18, 22, and 24 are rejected under 35 U.S.C. 102(e) as being anticipated by Yanagidaira (USPN 6,490,052).

3. Regarding claim 1, Yanagidaira (USPN 6,490,052) teaches a system for supporting printer maintenance in a network environment having a server, at least one network device and a printer, the server containing a plurality of printer configuration files, with means for:

- a. Accessing one of the printer configuration files (*database records*) which corresponds to the printer, the configuration file including a plurality of printer maintenance function names and a plurality of printer maintenance commands (*operating and setting states*) corresponding to the printer maintenance function names (column 6, lines 8-17).
- b. Generating an HTML-based page corresponding to the printer, the HTML-based page containing each of the printer maintenance function names from the accessed printer configuration file (column 6, lines 14-28).
- c. Sending the HTML-based page to the network device (column 6, lines 20-23)

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d. Wherein, upon selection in the network device of one of the printer maintenance function names in the HTML-based page, the server sends to the printer the printer maintenance command which corresponds to the selected printer maintenance function name (column 7, lines 21-32).

4. Regarding claim 2, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 1. He further teaches means for receiving a printer maintenance request from the network device, the printer maintenance request containing a reference to the printer (column 5, lines 43-47; column 6, lines 8-10).

5. Regarding claim 3, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 2. He further teaches means wherein the accessing step is performed in response to receipt of the printer maintenance request (column 6, lines 8-15).

6. Regarding claim 4, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 1. He further teaches means wherein each of the printer configuration files has a standardized data format (column 6, lines 11-15). Note that all data is store in the same data structure in the database.

7. Regarding claim 5, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 4. He further teaches means wherein the standardized format is an industry standard format (column 5, lines 20-22; column 6, lines 11-15). Note that these states are commonly used in the industry.

8. Regarding claim 6, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 4. He further teaches means wherein the standardized data format includes an industry

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standard format and an extension to the industry standard format (column 5, lines 20-22; column 6, lines 11-15).

9. Regarding claim 7, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 1. He further teaches means wherein each of the printer configuration files includes a plurality of printer maintenance function data sets, wherein each printer maintenance function data set includes a printer maintenance function name, a printer maintenance function description, a printer maintenance function resource and a printer maintenance function command parameter (column 5, lines 20-22; column 5, lines 31-36). Note that in order for the commands listed to be carried out, all of these fields are necessary.

10. Regarding claim 8, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 7. He further teaches means wherein the printer maintenance function resource is a file containing image data for incorporation into the HTML-based page (column 6, lines 19-21)

11. Regarding claim 9, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 8. He further teaches means wherein the image data in the file represents the printer maintenance function name corresponding to the printer maintenance function resource (column 6, lines 25-29).

12. Regarding claim 10, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 7. He further teaches means wherein the printer maintenance function command parameter is a printer maintenance function command which is identified by the printer maintenance function name corresponding to the printer maintenance function command parameter (column 5, lines 20-25, 30-35).

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13. Regarding claim 11, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 7. He further teaches means wherein the printer maintenance function command parameter represents a command file containing a printer maintenance function command which is identified by the printer maintenance function name corresponding to the printer maintenance function command parameter (column 6, lines 19-29).

14. Regarding claim 13, Yanagidaira (USPN 6,490,052) teaches all of the limitations as applied to claim 1. He further teaches means wherein the interface module is a standardized software module for building an HTML-based page (column 6, lines 19-24).

15. Regarding claim 14, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 13. He further teaches means wherein the interface module is provided by the operating system of the server (column 6, lines 19-24).

16. Regarding claim 16, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 1. He further teaches means wherein the selection by the network device of one of the printer maintenance function names is performed by a user of the network device (column 5, lines 43-46, 56-60).

17. Regarding claim 17, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 1. He further teaches means wherein the user of the network device selects one of the printer maintenance function names by using a pointing device connected to the network device (column 5, lines 56-60).

18. Regarding claim 18, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 1. He further teaches means wherein the method is performed in the server (figure 1;

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column 5, lines 39-31). Note that all of the functions take place in the printer server of the reference.

19. Regarding claim 22, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claim 1. He further teaches means wherein the server executes a script to send the printer maintenance command to the printer (column 7, lines 21-32).

20. Regarding claim 24, Yanagidaira (USPN 6,490,052) teaches a system for supporting printer maintenance in a network environment having a server, a plurality of network devices, and a printer connected to one of the plurality of network devices, the server containing a plurality of printer configuration files, with means for:

- a. Receiving a printer maintenance request from one of the network devices, the printer maintenance request containing a reference to the printer (column 5, lines 55-60).
- b. Accessing one of the printer configuration files which corresponds to the printer, the printer configuration files having a standardized data format and including a plurality of printer maintenance function data sets each of which includes a printer maintenance function name, a printer maintenance function description, a printer maintenance function resource and a printer maintenance function command parameter (column 6, lines 8-17).
- c. Generating, by use of an interface module in the server, an HTML-based page corresponding to the printer, the HTML-based page containing for each printer maintenance function data set the corresponding printer maintenance

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function name, the printer maintenance function description, and the printer maintenance function resource (column 6, lines 14-28).

- d. Sending the HTML-based page to the network device that sent the printer maintenance request (column 6, lines 20-23)
- e. Wherein, upon selection by the network device of one of the printer maintenance function names in the HTML-based page, the server sends to the printer a printer maintenance function command which is derived from the printer maintenance function command parameter corresponding to the selected printer maintenance function name (column 7, lines 21-32).

Claim Rejections - 35 USC § 103

21. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

22. Claims 12, 15, and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yanagidaira (USPN 6,490,052).

23. Regarding claim 12, although the system disclosed by Yanagidaira (USPN 6,490,052) (as applied to claim 7) shows substantial features of the claimed invention, it fails to disclose a command parameter indicator which, when set to a first value, indicates that the printer maintenance function command parameter is a printer maintenance function command and, when set to a second value, indicates that the printer maintenance function command parameter represents a command file containing a printer maintenance function command.

Nonetheless, these features are well known in the art and it would have been an obvious modification of the system disclosed by Yanagidaira (USPN 6,490,052).

A person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Yanagidaira (USPN 6,490,052) by employing the use of a parameter to determine if the instruction is a single command or a file. This benefits the system because different modes (protocols) of communication may be required depending on the format of the data. In the instance that a large file is to be sent, communication may be delayed until a time of low network usage.

24. Regarding claim 15, although the system disclosed by Yanagidaira (USPN 6,490,052) (as applied to claim 1) shows substantial features of the claimed invention, it fails to disclose specifically means wherein the interface module is a common gateway interface module.

Nonetheless, these features are well known in the art and it would have been an obvious modification of the system disclosed by Yanagidaira (USPN 6,490,052).

A person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Yanagidaira (USPN 6,490,052) by employing the use of a common gateway interface module. This is common in the art and would benefit the system by allowing new devices and functions to be implemented more quickly and reliably.

25. Regarding claim 23, although the system disclosed by Yanagidaira (USPN 6,490,052) (as applied to claim 22) shows substantial features of the claimed invention, it fails to disclose specifically means wherein the script is a common gateway interface script.

Nonetheless, these features are well known in the art and it would have been an obvious modification of the system disclosed by Yanagidaira (USPN 6,490,052).

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A person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Yanagidaira (USPN 6,490,052) by employing the use of a common gateway interface script. This is common in the art and would benefit the system by allowing new devices and functions to be implemented more quickly and reliably.

26. Claims 19, 20, 21, and 25-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yanagidaira (USPN 6,490,052) in view of Lauder et al. (USPN 6,253,238).

27. Regarding claim 19, although the system disclosed by Yanagidaira (USPN 6,490,052) (as applied to claim 1) shows substantial features of the claimed invention, it fails to disclose means wherein the network environment is a digital cable network.

Nonetheless, these features are well known in the art and it would have been an obvious modification of the system disclosed by Yanagidaira (USPN 6,490,052), as evidenced by Lauder et al. (USPN 6,253,238).

In an analogous art, Lauder et al. (USPN 6,253,238) discloses a printing network wherein the network environment is a digital cable network (figure 4; column 6, lines 53-58).

Given the teaching of Lauder et al. (USPN 6,253,238), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Yanagidaira (USPN 6,490,052) by employing the use of a digital cable network. This benefits the system by allowing a larger number of users on smaller home networks to service network printers.

28. Regarding claim 20, although the system disclosed by Yanagidaira (USPN 6,490,052) (as applied to claim 19) shows substantial features of the claimed invention, it fails to disclose means wherein the network device is a set top box.

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Nonetheless, these features are well known in the art and it would have been an obvious modification of the system disclosed by Yanagidaira (USPN 6,490,052), as evidenced by Lauder et al. (USPN 6,253,238).

In an analogous art, Lauder et al. (USPN 6,253,238) discloses a printing network wherein the network device is a set top box (figure 4; column 6, lines 53-58).

Given the teaching of Lauder et al. (USPN 6,253,238), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Yanagidaira (USPN 6,490,052) by employing the use of a set top box. This benefits the system by allowing a larger number of users on smaller home networks to service network printers using hardware already installed in the home.

29. Regarding claim 21, although the system disclosed by Yanagidaira (USPN 6,490,052) (as applied to claim 19) shows substantial features of the claimed invention, it fails to disclose means wherein the method is performed in the server which is located in a cable head end of the digital cable network.

Nonetheless, these features are well known in the art and it would have been an obvious modification of the system disclosed by Yanagidaira (USPN 6,490,052), as evidenced by Lauder et al. (USPN 6,253,238).

In an analogous art, Lauder et al. (USPN 6,253,238) discloses a printing network wherein the method is performed in the server which is located in a cable head end of the digital cable network (figure 4; column 6, lines 53-58).

Given the teaching of Lauder et al. (USPN 6,253,238), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Yanagidaira

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(USPN 6,490,052) by executing the steps in a server located in a cable head end of the digital cable network. This benefits the system by allowing the server to run on a piece of hardware already functioning in the desired location.

30. Regarding claim 25, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claims 1-24. He further teaches a program memory for storing process steps executable to perform a method according to any of Claims 1 to 24; and a processor for executing the process steps stored in said program memory (figure 1).

31. Regarding claim 26, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claims 1-24. He further teaches computer-executable process steps stored on a computer readable medium, said computer-executable process steps to support printer maintenance in a network environment having a server, at least one network device and a printer, the server containing a plurality of printer configuration files, said computer-executable process steps comprising process steps executable to perform a method according to any of Claims 1 to 24 (column 6, lines 8-28; column 7, lines 21-32; figure 1).

32. Regarding claim 27, Yanagidaira (USPN 6,490,052) teaches all the limitations as applied to claims 1-24. He further teaches a computer-readable medium which stores computer-executable process steps, the computer-executable process steps to support printer maintenance in a network environment having a server, at least one network device and a printer, the server containing a plurality of printer configuration files, said computer-executable process steps comprising process steps executable to perform a method according to any of Claims 1 to 24. (column 6, lines 8-28; column 7, lines 21-32; figure 1).

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Conclusion

33. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Please see the following:

- a. Lakis et al. (USPN 6,532,491)
- b. Meyer et al. (USPN 6,289,378)


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin Parton whose telephone number is (703)306-0543. The examiner can normally be reached on M-F 8:00AM - 4:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenton Burgess can be reached on (703)305-4792. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)305-3900.

Kevin Parton
Examiner
Art Unit 2153

ksp


GLENTON B. BURGESS
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100

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